

Report to the NHS Somerset Clinical Commissioning Group on 24 September 2020

Title: Risk Management Update Report	Enclosure L
Version Number / Status:	[0.1]
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Purpose of Paper	<p>1 To update the Governing Body about corporate risks that are escalated, de-escalated, increased, decreased, materialised or closed in the Corporate Risk Register (CRR) since the full review by the Governing Body on 30 July 2020.</p> <ul style="list-style-type: none"> • To advise that two risks have been closed: <ul style="list-style-type: none"> - 327: Implementation of Liberty Protection Safeguards was closed on 3/8/2020 as a result of the parliamentary Statement regarding the Mental Capacity Amendment Act (2019) in relation to the Liberty Protection Safeguards (LPS). The original intention was for the LPS to be implemented in October 2020. The statement notes that this is now no longer possible. In order to achieve effective implementation, the aim is now to have full implementation of the LPS by April 2022. A draft code of practice and regulations will be made available in due course; the statement advises that this will happen well in advance of the target date - 321: Somerset Integrated Urgent Care Service Financial Risk was closed on 04/08/2020 as the risk will be reviewed/re-assessed on commencement of business as usual contractual arrangements post Covid-19 and when activity/performance data is available for review with regard to the contract budget value. • To advise that one risk has been de-escalated from the CRR: <ul style="list-style-type: none"> - 64: Financial Management (from 12 to 8). • To advise that three risks have reduced their risk score: <ul style="list-style-type: none"> - 202: CCG 2020/21 Budgets, has reduced from a risk score of 16 to 12. This reflects the 2020/21 financial year, which has a degree of uncertainty around the financial position, but not a definite financial deficit. The CCG has not yet delivered a balanced financial plan and uncertainty around funding levels and expenditure commitments currently exist.
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	<ul style="list-style-type: none"> - 365: Somerset Integrated Urgent Care Service - Added Activity has reduced from a risk score of 15 to 12 as a reduction of likelihood from 5 to 4 - 386 Covid-19: Personal Protection Equipment supply has reduced from a risk score of 16 to 12 from a reduction of likelihood from 4 to 3 due to a reduction of risk hazards. • To advise that four risks have been escalated to the CRR: <ul style="list-style-type: none"> - 438: Section 117 Aftercare (risk score 15) - 397: CCG Financial Plan 2020/21 (risk score 16) - 392: No commissioning lead manager for services for Neuro Rehab patients and gaps in service (risk score 15). - 430: SEND- Compliance to statutory and legal duties (risk score 20). • To advise that no risks have increased the current risk score. • To advise that six risks have realised: <ul style="list-style-type: none"> - 135 Dementia Diagnosis Rates realised on 04/04/2018 (risk score 12) - 248 Access to CAMHS realised on 01/01/2020 (risk score 12) - 436 Adoption Medical Advisor Service realised on 20/07/2020 (risk score 15) - 428 COVID Risk of nosocomial transmission realised on 23/03/2020 (risk score 15) - 323 Neuro Paeds realised on 01/05/2019 (risk score 15) - 438 Section 117 Aftercare realised on 30/07/2020 (risk score 15) <p>2 To advise that the next full CRR update will be brought to the Governing Body meeting 26 November 2020.</p>
Recommendation	To approve the additions and amendments.
Previous GB/ Committee(s), Dates	<p>Full CRR review approved at Clinical Executive Committee (CEC) on 1 July 2020.</p> <p>Full CRR review presented to the Governing Body on 30 July 2020.</p>

Monitoring and Assurance Summary

This report links to the following CCG Strategic	<ul style="list-style-type: none"> • Transform the effectiveness and efficiency of urgent and acute care
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Themes:	<ul style="list-style-type: none"> Sustain and continually improve the quality of all services 		
This report links to the following Somerset STP priorities:	The ICS priorities are fundamental to informing the design and content of these two central Governing Body support tools, in terms of operational risks (CRR) and strategic risks (GBAF)		
		Any action required?	
	Yes	Yes	No
Equality Impact Assessment			✓
Quality	✓	As covered by risk action plans	
Privacy		No confidential information in included	
Stakeholder Engagement	✓	Through Lay Representation of Governing Body and Health & Care Strategy Engagement	
Financial / Resource / Sustainability	✓	As covered by risk action plans	
Legal/Regulatory	✓	Meets statutory obligations of the CCG in respect of good governance	
Freedom of Information		The report will become a public document when presented at Governing Body meeting	
Risk Assessment		No risk assessments identified for this report.	
I confirm that I have considered the implications of this report on each of the matters above, as indicated	Claire Miller		